

Introduction to Ratner's Theorems on Unipotent Flows

Dave Witte Morris

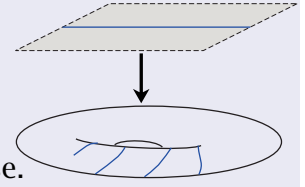
University of Lethbridge, Alberta, Canada
<http://people.uleth.ca/~dave.morris>
 Dave.Morris@uleth.ca

Abstract. Let f be the obvious covering map from Euclidean n -space to the n -torus. It is well known that if L is any straight line in n -space, then the closure of $f(L)$ is a very nice submanifold of the n -torus. In 1990, Marina Ratner proved a beautiful generalization of this observation that replaces Euclidean space with any Lie group G , and allows L to be any subgroup of G that is "unipotent." We will discuss the statement of this theorem and related results, some of the ideas in the proofs, and a few of the important consequences.

Elementary example

Let $M = \text{torus } \mathbb{T}^2 = \mathbb{R}^2 / \mathbb{Z}^2$

- covering map $f: \mathbb{R}^2 \rightarrow M$
- $H = \text{line in } \mathbb{R}^2$.



If the slope of H is irrational, it is classical that $f(H)$ is dense.

Exercise. Let $M = n\text{-torus } \mathbb{T}^n = \mathbb{R}^n / \mathbb{Z}^n$

- covering map $f: \mathbb{R}^n \rightarrow M$
- $H = \text{vector subspace of } \mathbb{R}^n$.

Closure $\overline{f(H)} = f(S)$ is a torus \mathbb{T}^k (\exists subspace S of \mathbb{R}^n).

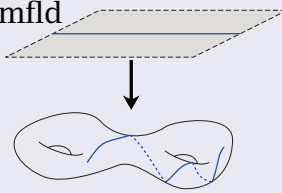
The closure of $f(H)$ is a very nice submanifold of M .

Example in Riemannian geometry

Example

Let $M = \text{compact, hyperbolic } n\text{-mfld}$

- covering map $f: \mathbb{H}^n \rightarrow M$
- line $\mathbb{H}^1 \hookrightarrow \mathbb{H}^n$



Closure $\overline{f(\mathbb{H}^1)}$ can be a fractal.

Consequence of Ratner's Thm [Shah, Payne]

$\mathbb{H}^2 \subset \mathbb{H}^n \implies \overline{f(\mathbb{H}^2)} = f(\mathbb{H}^k)$ is a submfld of M
 (immersed, maybe not embedded).

(Similar for other locally symmetric spaces.)

Recall

- $f: \mathbb{R}^n \rightarrow \mathbb{R}^n / \mathbb{Z}^n$
 - $H = \text{vector subspace of } \mathbb{R}^n$
- $\implies \overline{f(H)} = f(S), \exists \text{ vector subspace } S \text{ of } \mathbb{R}^n$.

- \mathbb{R}^n is a **Lie group** (group & manifold)
- subgroup \mathbb{Z}^n is a **lattice**
 (discrete and $\mathbb{R}^n / \mathbb{Z}^n$ has finite volume)

Generalization (Ratner's Theorem) [1991]

Replace:

- \mathbb{R}^n with any Lie group G
- \mathbb{Z}^n with any lattice Γ in G
- H with any subgroup of G that is generated by "unipotent" elements
- S with a closed subgroup of G

Homogeneous Dynamics

study of dynamical systems on homogeneous spaces

finite-volume homogeneous space G/Γ :

- $G = \text{Lie group} = \text{closed subgroup of } \text{SL}(n, \mathbb{R})$
 $\{n \times n \text{ mats with } \mathbb{R} \text{ entries, } \det = 1\}$
 = group & manifold

- $\Gamma = \text{lattice in } G$

Coset space G/Γ is a manifold of finite volume.

"dynamical system" = action of subgroup H of G

$$h: G/\Gamma \rightarrow G/\Gamma \quad h(x\Gamma) = hx\Gamma$$

E.g., understand the orbit $Hx\Gamma$ in G/Γ

Ratner's Theorem [1991]

- finite-volume homogeneous space G/Γ
- subgroup H gen'd by **unipotent** elements

$\implies \overline{Hx\Gamma} = Sx\Gamma$ for some closed subgroup S of G .

Also: $H \subseteq S$ and $(x\Gamma x^{-1}) \cap S$ is latt in S if H conn.

Unipotent matrices are conjugate to an element of $\left\{ \begin{bmatrix} 1 & & * \\ & 1 & \\ \mathbf{0} & \ddots & \\ & & 1 \end{bmatrix} \right\} \subset \text{SL}(n, \mathbb{R})$.

Exer. u unip $\iff (u - I)^n = 0 \iff \text{char poly } (x - 1)^n$
 \iff only eigenvalue is 1 \implies not diag'ble (unless $u = I$).

Applications of Ratner's Theorem

Example (Shah [1991], Payne [1999])

$M = \mathbb{H}^n / \Gamma$, $f: \mathbb{H}^n \rightarrow M$, $\mathbb{H}^2 \subset \mathbb{H}^n$
 $\Rightarrow f(\mathbb{H}^2)$ is (immersed) submanifold of M .

Idea of proof.

- $\mathbb{H}^n = K \backslash \text{SO}(1, n)^\circ = K \backslash G \Rightarrow \pi: G/\Gamma \rightarrow M$
- $f(\mathbb{H}^2) = \pi(\text{SO}(1, 2)^\circ x \Gamma) = \pi(Hx\Gamma)$

$\overline{f(\mathbb{H}^2)} = \overline{\pi(Hx\Gamma)} = \pi(\overline{Hx\Gamma}) \stackrel{\text{Ratner}}{=} \pi(Sx\Gamma)$
 = immersed submanifold. \square

$H = \text{SO}(1, 2)^\circ \cong \text{SL}(2, \mathbb{R}) = \begin{bmatrix} * & * \\ * & * \end{bmatrix} = \langle \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ * & 1 \end{bmatrix} \rangle$
 is generated by unipotent elements

"Oppenheim Conjecture" (Margulis [1987])

Let Q be a real quadratic form in $n \geq 3$ variables
 (e.g., $x^2 - \sqrt{2}xy + \sqrt{3}z^2$).
 Then $Q(\mathbb{Z}^n)$ is dense in \mathbb{R}
 unless $\approx \mathbb{Z}$ -coefficients, or definite, or degenerate.

Proof for $n = 3$.

Let $G = \text{SL}(3, \mathbb{R})$, $\Gamma = \text{SL}(3, \mathbb{Z})$, and
 $H = \text{SO}(Q) = \{h \in \text{SL}(3, \mathbb{R}) \mid Q(h\vec{x}) = Q(\vec{x})\}$.
 Ratner: $\overline{H\Gamma} = S\Gamma$, for some subgroup $S \supseteq H$.
 Algebra: H is maximal in G , so $S = H$ or G .
 $S = H \Rightarrow Q$ has \mathbb{Z} -coefficients (\approx)
 So $H\Gamma$ is dense in G . Therefore
 $Q(\mathbb{Z}^3) \supset Q(\overline{H\Gamma}\mathbb{Z}^3) = Q(G\mathbb{Z}^3) = Q(\mathbb{R}^3) = \mathbb{R}$. \square

Example (Shah [1998])

Γ, Λ lattices in $G = \text{SL}(n, \mathbb{R})$ (any simple Lie group)
 \Rightarrow every Λ -orbit on G/Γ is either finite or dense.

Proof. Ratner: $\overline{\Lambda x \Gamma} = Sx\Gamma$, and $S \supseteq \Lambda$.
 Borel Density Theorem: $\Lambda \not\subset$ conn, proper subgrp.
 $\therefore \Lambda$ normalizes H connected $\Rightarrow N_G(H) = G$
 \Rightarrow (bcs G simple) $H = \{e\}$ or G .
 $S^\circ = \{e\} \Rightarrow S/\Lambda$ finite. $S^\circ = G \Rightarrow S/\Lambda = G/\Lambda$. \square

Gap: Ratner's Thm requires Λ to be gen'd by unips.

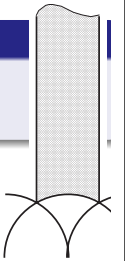
Exer. Fix by using fact that G is gen'd by unips.
Hint: Look at orbit of $\{(g, g)\}$ on $(G \times G)/(\Gamma \times \Lambda)$.
 G simple $\Rightarrow \{(g, g)\}$ is max'l conn subgrp.

A Key Idea in the Proof

Example

$G = \text{SL}(2, \mathbb{R}) = \{2 \times 2 \text{ real mat's of det } 1\}$.
 Let $\Gamma = \text{SL}(2, \mathbb{Z})$. Then Γ is a lattice in G .

Other choices of Γ can make G/Γ compact.



Definition

Define $u^t = \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix}$ and $a^t = \begin{bmatrix} e^t & 0 \\ 0 & e^{-t} \end{bmatrix}$.
 Each is a homomorphism from \mathbb{R} to $\text{SL}(2, \mathbb{R})$.
 u^t is a **unipotent** one-parameter subgroup.

$$u^t = \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix} \quad \begin{array}{c} qx \\ x \end{array} \begin{array}{c} \xrightarrow{u^t} \\ \xrightarrow{u^t} \end{array} \begin{array}{c} u^t qx \\ u^t x \end{array}$$

$$d(x, qx) = \|q\|. \quad d(u^t x, u^t qx) = \|u^t q u^{-t}\|.$$

$$u^t q u^{-t} = \begin{bmatrix} \alpha + yt & \beta + (\delta - \alpha)t - yt^2 \\ y & \delta - yt \end{bmatrix}$$

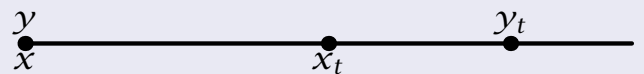
Shearing: Fastest motion is parallel to the orbits.



Cor. If $x \approx y$, then $\exists t, y_t \approx x_{t+1}$.

Shearing

Fastest motion is parallel to the orbits.



$$\text{Contrast: } a^t q a^{-t} = \begin{bmatrix} \alpha & \beta e^{2t} \\ y e^{-2t} & \delta \end{bmatrix}$$

Fastest motion is transverse to the orbits.



Further reading (and references to primary sources)

chapter of **forthcoming book on arithmetic grps**

free PDF file on my web page (or the arxiv)

[http://people.uleth.ca/~dave.morris
/books/IntroArithGroups.html](http://people.uleth.ca/~dave.morris/books/IntroArithGroups.html)

my book: *Ratner's Theorems on Unipotent Flows*

free PDF file on my web page (or the arxiv)

[http://people.uleth.ca/~dave.morris
/books/Ratner.html](http://people.uleth.ca/~dave.morris/books/Ratner.html)

published by University of Chicago Press (2005)

*** available from Amazon ***

Introduction to Ratner's Theorems on Unipotent Flows

Dave Witte Morris

University of Lethbridge, Alberta, Canada
 http://people.uleth.ca/~dave.morris
 Dave.Morris@uleth.ca

Part 2: Variations of Ratner's Theorem and Additional Ideas of the Proof

Ratner's Theorem [1991]

- $G =$ Lie group = closed subgroup of $SL(n, \mathbb{R})$
 - $\Gamma =$ lattice in G = discrete subgroup with $\text{vol}(G/\Gamma) < \infty$
 - $X = G/\Gamma$
 - $H =$ subgroup of G gen'd by unips $\subseteq \begin{bmatrix} 1 & & * \\ & \ddots & \\ 0 & & 1 \end{bmatrix}$
- $\Rightarrow \overline{Hx} = Sx$ for some subgroup S of G .

Conjecture (Shah [1998])

Suffices to assume **Zariski closure** $\overline{\overline{H}}$ gen'd by unips \approx smallest *almost* conn subgrp of G that $\supseteq H$.

Progress by Benoist-Quint [2013]

True if $\overline{\overline{H}} = SL(n, \mathbb{R})$ (or semisimple) and H/H° is finitely gen'd

Ratner's Theorem

- $G =$ Lie group = closed subgroup of $SL(n, \mathbb{R})$
 - $\Gamma =$ lattice in G = discrete subgroup with $\text{vol}(G/\Gamma) < \infty$
 - $X = G/\Gamma$
 - $H =$ subgroup of G gen'd by unips $\subseteq \begin{bmatrix} 1 & & * \\ & \ddots & \\ 0 & & 1 \end{bmatrix}$
- $\Rightarrow \overline{Hx} = Sx$ for some subgroup S of G .

Derived from special case where $H = \{u^t\}$ is 1-dim'l

Ratner's Theorem

$\{u^t\}$ unipotent $\Rightarrow \overline{\{u^t\}x} = Sx$, \exists subgrp S of G .

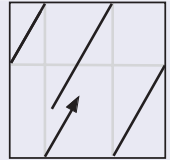
Also: $S \supseteq \{u^t\}$ and Sx has finite S -inv't volume.

Example

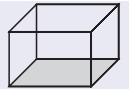
Let $X =$ torus $\mathbb{T}^2 = \mathbb{R}^2/\mathbb{Z}^2$.
 Any $v \in \mathbb{R}^2$ defines a flow on \mathbb{T}^2 :

$$x_t = x + tv \doteq u^t x$$

If the slope of v is irrational, it is classical that every orbit is dense (& unif dist).
 Also: Lebesgue is the only inv't probability measure.



$v = (a, b, 0)$ defines a flow on $\mathbb{T}^3 = \mathbb{R}^3/\mathbb{Z}^3$ and $\mathbb{T}^2 \times \{0\}$ is invariant.



Lebesgue on $\mathbb{T}^2 \times \{0\}$ is an invariant measure.

$\forall v$, any ergodic inv't probability meas for flow on \mathbb{T}^3 is Lebesgue meas on some subtorus \mathbb{T}^k ($0 \leq k \leq 3$).

Ratner's Theorem on Orbit Closures

$\{u^t\}$ unipotent $\Rightarrow \overline{\{u^t\}x} = Sx$, \exists subgrp S of G .

Ratner's Equidistribution Theorem

$\{u^t\}x$ is ~~dense~~ equidistributed in Sx :

$$\frac{1}{T} \int_0^T f(u^t x) dt \rightarrow \int_{Sx} f d\text{vol} \quad \text{for } f \in C_c(G/\Gamma)$$

where $\text{vol} = S$ -invariant volume form on Sx .

Ratner's Measure-Classification Theorem

Any ergodic u^t -invariant probability measure on G/Γ is S -invariant volume form on some Sx .

Generalized to p -adic groups. ζ characteristic p ?
 [Ratner, Margulis-Tomanov] [Einsiedler, Ghosh, Mohammadi]

measure-classification \Rightarrow equidist \Rightarrow orbit-closure

measure-classification \Rightarrow equidistribution

$$\frac{1}{T} \int_0^T f(u^t x) dt \rightarrow \int_{Sx} f d\text{vol}$$

Easy case

$\mu =$ **unique** u^t -inv't probability meas on X (compact)
 \Rightarrow every u^t -orbit is equidistributed.

Proof. $M_T(f) := \frac{1}{T} \int_0^T f(u^t x) dt$

$M_T: C_c(X) \rightarrow \mathbb{C}$ positive linear functional

Riesz Rep Thm: $M_T \in \text{Meas}(X) = \{\text{prob meas on } X\}$.

Banach-Alaoglu: $\text{Meas}(X)$ weak* compact

\Rightarrow subsequence $M_{T_n} \rightarrow M_\infty$ u^t -invariant.

Therefore $M_\infty = \mu$. So $M_T(f) \rightarrow \mu(f) = \int f d\mu$. \square

Example: $G = \text{SL}(2, \mathbb{R})$

Theorem (Furstenberg [1973])

$\text{SL}(2, \mathbb{R})/\Gamma$ compact \Rightarrow the only invariant probability measure for $u^t = \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix}$ is the ordinary volume.

Corollary

Every u^t -orbit is equidistributed (\therefore dense).

Theorem (Dani [1978])

$\text{SL}(2, \mathbb{R})/\Gamma$ noncompact \Rightarrow other ergodic u^t -invariant measure is the measure supported on a closed orbit.



Theorem (Dani [1978])

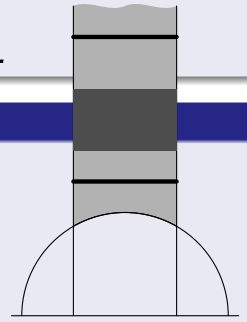
$\text{SL}(2, \mathbb{R})/\Gamma$ noncompact \Rightarrow other ergodic u^t -invariant measure is the measure supported on a closed orbit.

General fact

Every invariant measure is a combination of ergodic measures.

Corollary

Every u^t -inv't probability meas on $\text{SL}(2, \mathbb{R})/\Gamma$ is a combination of Lebesgue measure with measures on cylinders of closed orbits.



Ratner's Measure-Classification Theorem

Ergodic u^t -inv't prob meas is S -inv't vol on Sx ($\exists S, x$)

Fix x_0 . Sx_0 has finite S -inv't volume $\Leftrightarrow gSx_0$ has finite gSg^{-1} -inv't volume.
If $u^t \subseteq gSg^{-1}$, this provides a u^t -invariant measure.
• gSx_0 is analogue of a closed orbit.

$N(u^t, S) := \{g \in G \mid u^t \subseteq gSg^{-1}\}$ (\sim submanifold).
 $N(u^t, S)x_0 \subseteq G/\Gamma \sim$ cylinder of closed orbits:
each gSx_0 has an u^t -invariant prob meas.

Lem. \exists only countably many possible subgroups S .

Cor. Every u^t -inv't prob meas on G/Γ is a combo of measures on these countably many "tubes".

What does "ergodic" mean?

Defn. μ ergodic u^t -inv't meas:
every u^t -inv't meas'ble func is constant (a.e.)

Pointwise Ergodic Theorem

μ ergodic \Leftrightarrow a.e. u^t -orbit is μ -equidistributed.
 $\frac{1}{T} \int_0^T f(u^t x) dt \rightarrow \int_X f d\mu$ a.e.

Exer. $\mu_1 \neq \mu_2$, both ergodic
 $\Rightarrow \mu_1$ and μ_2 are mutually singular.
 $\mu_1(C_1) = 1, \mu_2(C_2) = 1, C_1 \cap C_2 = \emptyset$

Hint. $\mu_1 = f\mu_2 + \mu_{sing}$ and f is u^t -inv't.

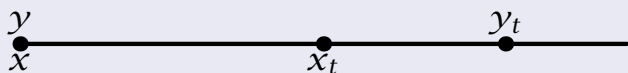
Proof of Measure-Classification

$$G = \text{SL}(2, \mathbb{R}), \quad u^t = \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix}, \quad a^s = \begin{bmatrix} e^s & 0 \\ 0 & e^{-s} \end{bmatrix}.$$

$$\begin{array}{ccc} qx & & utqx \\ \swarrow & & \searrow \\ x & & utx \end{array}$$

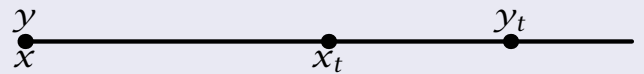
$$u^t q u^{-t} = \begin{bmatrix} \alpha + yt & \beta + (\delta - \alpha)t - yt^2 \\ \gamma & \delta - yt \end{bmatrix}$$

Shearing: Fastest motion is parallel to the orbits.



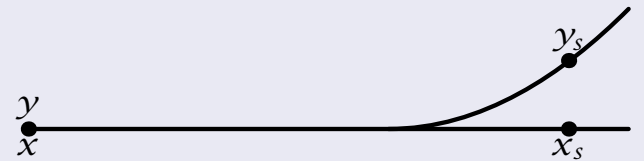
Shearing

Fastest motion is parallel to the orbits.



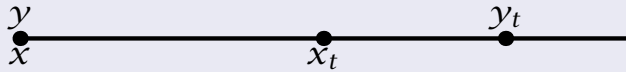
$$\text{Contrast: } a^s q a^{-s} = \begin{bmatrix} \alpha & \beta e^{2s} \\ \gamma e^{-2s} & \delta \end{bmatrix}$$

Fastest motion is transverse to the orbits.



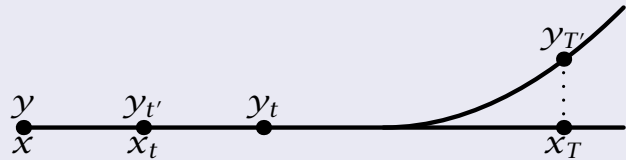
Shearing

Fastest motion is parallel to the orbits.



Key idea in proof of Measure-Classification

Ignore motion along the orbit, and look at the *transverse* motion perpendicular to the orbit.



Example

$$u^t = \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix}, u^t q u^{-t} = \begin{bmatrix} \alpha + \gamma t & \beta + (\delta - \alpha)t - \gamma t^2 \\ \gamma & \delta - \gamma t \end{bmatrix}$$

Fastest motion is along $\{u^t\}$.

Ignoring this, largest terms are diagonal (in $\{a^s\}$)

Observation

$$a^s \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix} a^{-s} = \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix}: a^s \text{ normalizes } \{u^t\}.$$

Proposition

For action of a unipotent subgroup, the fastest transverse divergence is along the **normalizer**.

Prop. Fastest transverse div is along **normalizer**.

Corollary (Step 1 of Ratner's Proof)

μ is u^t -inv't and ergodic (and...) $\Rightarrow \mu$ is a^s -inv't.

Proof.

a^s normalizes $u^t \Rightarrow u^t(a^s\mu) = a^s(u^t\mu) = a^s\mu$.

μ and $a^s\mu$ are two *different* ergodic measures

\Rightarrow live on disjoint u^t -invariant sets C and a^sC .

Assume $d(C, a^sC) > \epsilon$.

For $x \approx y$ in C : $C \ni u^t x \approx a^s u^t y \in a^sC \ (\exists t, t')$.

$\Rightarrow d(C, a^sC) < \epsilon. \rightarrow \leftarrow$ □

Step 2: entropy calculation $\Rightarrow \mu$ inv't under $\begin{bmatrix} 1 & \\ * & 1 \end{bmatrix}$.

Introduction to Ratner's Theorems on Unipotent Flows

Dave Witte Morris

University of Lethbridge, Alberta, Canada
 http://people.uleth.ca/~dave.morris
 Dave.Morris@uleth.ca

Part 3: Completion of the Proof of Ratner's Measure-Classification Theorem

an easy special case of

Measure-Classification Theorem [Furstenberg, Dani]

$G = \text{SL}(2, \mathbb{R})$, $\Gamma = \text{lattice in } G$, $u^t = \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix}$,
 $\mu = \text{ergodic } u^t\text{-inv't probability measure on } G/\Gamma$
 $\Rightarrow \mu \text{ is Lebesgue measure or on closed orbit.}$

Step 1 of the proof (yesterday)

Shearing: fastest motion is parallel to the orbits.

Prop. Fastest **transverse** motion is along norm'zer.

Cor. μ is inv't under $a^s = \begin{bmatrix} e^s & 0 \\ 0 & e^{-s} \end{bmatrix}$ unless supported on closed u^t -orbit.

Step 2: μ is inv't under $\begin{bmatrix} 1 & 0 \\ * & 1 \end{bmatrix}$. *entropy calculation*

Step 2: Entropy calculation

What is entropy?

Fix **partition** $\mathcal{P} = \{A_1, \dots, A_n\}$ of X . Let $p_i = \mu(A_i)$.

Suppose x is an **unknown** point in X .

Learning $x \in A_i$ gives us information:

bits of info is $|\log(\mu(A_i))| = |\log p_i|$.

Expected # bits info is $\sum_i p_i |\log p_i|$
 = the **entropy** of \mathcal{P} = $h_\mu(\mathcal{P}) \geq 0$.

For $T: X \rightarrow X$, **entropy** $h_\mu(T)$
 = growth rate from $x, Tx, T^2x, \dots, T^n x$.

$\mathcal{P}_n = \{A_i\} \vee \{T^{-1}A_i\} \vee \dots \vee \{T^{-n}A_i\}$

$h_\mu(T) := \lim_{n \rightarrow \infty} h_\mu(\mathcal{P}_n)/n$. (usually independent of \mathcal{P})

$$h_\mu(T) := \lim_{n \rightarrow \infty} h_\mu(\mathcal{P}_n)/n \geq 0$$

Eg. $T(x) = x + \alpha \pmod{1}$ (with α irrational).

$[0, 1) = [0, 1/2) \cup [1/2, 1)$

$\Rightarrow \#\mathcal{P}_n \leq 2 + \#\mathcal{P}_{n-1} \leq n$

$\Rightarrow h_{\text{leb}}(\mathcal{P}_n) \leq \log 2n$

$h_{\text{leb}}(T) \leq \lim_{n \rightarrow \infty} \frac{1}{n} \log 2n = 0$.

More generally:

Prop. $T \in \text{Isom}(X) \Rightarrow h_\mu(T) = 0$.

no distances are stretched \Rightarrow entropy is 0

amount of stretching = entropy for diffeos

amount of stretching = entropy for diffeos

Pesin Entropy Formula [1977]

- $T = \text{vol-pres diffeo of manifold } M$ (cpct, smooth)
- tangent bundle $\mathcal{T}M = \mathcal{E}_1 \oplus \dots \oplus \mathcal{E}_n$ (T -inv't),
 $\forall \xi \in \mathcal{E}_i, \|T(\xi)\| = \tau_i \|\xi\|$.

Then $h_{\text{vol}}(T) = \sum_{\tau_i > 1} (\dim \mathcal{E}_i) \log \tau_i$.

Example (entropy of geodesic flow)

Recall $a^s q a^{-s} = \begin{bmatrix} \alpha & \beta e^{2s} \\ \gamma e^{-2s} & \delta \end{bmatrix}$. $h_{\text{vol}}(a^s) = 2|s|$

$$\mathcal{T}M = \begin{bmatrix} 0 & * \\ 0 & 0 \end{bmatrix} \oplus \begin{bmatrix} * & 0 \\ 0 & * \end{bmatrix} \oplus \begin{bmatrix} 0 & 0 \\ * & 0 \end{bmatrix}$$

Theorem (Ledrappier-Young [1985])

- $T = \text{meas-pres diffeo of manifold } M$
- tangent bundle $\mathcal{T}M = \mathcal{E}_1 \oplus \dots \oplus \mathcal{E}_n$ (T -inv't),
 $\forall \xi \in \mathcal{E}_i, \|T(\xi)\| = \tau_i \|\xi\|$.
- H -orbits are tangent to $\bigoplus_{\tau_i > 1} \mathcal{E}_i$
- $\eta = \sum_{\tau_i > 1} (\dim \mathcal{E}_i) \log \tau_i$

Then $h_\mu(T) \leq \eta$. Equality $\Leftrightarrow \mu$ is H -inv't.

"measure of maximal entropy is nice"

Idea. If $\text{supp } \mu$ misses directions that are stretched, they do not contribute as much as they should.

To exploit all directions (along the H -orbits),

μ must be Lebesgue on every H -orbit.

So μ is H -invariant.

Theorem (Ledrappier-Young [1985])

If H -orbits tangent to the expanding directions of T , then $h_\mu(T) \leq \eta = \text{total stretching}$.

Equality $\Leftrightarrow \mu$ is H -inv't.

Cor. Suppose μ is a^s -inv't on $SL(2, \mathbb{R})/\Gamma$.

Then $h_\mu(a^s) \leq 2|s|$, with equality iff μ is u^t -inv't.

Step 2. μ inv't under u^t and $a^s \Rightarrow \mu = \text{Lebesgue}$.

Proof.

μ is u^t -inv't $\Rightarrow h_\mu(a^s) = 2|s| \Rightarrow h_\mu(a^{-s}) = 2|s|$

$\Rightarrow \mu$ is invariant under $\begin{bmatrix} 1 & 0 \\ r & 1 \end{bmatrix} = v^r$.

μ is invariant under $\langle u^t, a^s, v^r \rangle = SL(2, \mathbb{R})$. \square

Measure-classification \Rightarrow Equidistribution

Show $M_T(f) := \frac{1}{T} \int_0^T f(u^t x) dt \rightarrow \int_{Sx} f d \text{vol} \quad \exists S$

Measure-Classification.

- Each ergodic measure is vol_{S_y} .
- Every inv't meas is $(\approx) \sum_i \text{vol}_{S_i y_i}$.

Recall that $M_T \in \text{Meas } X$ and $\text{Meas}(X)$ is compact. Need to show only acc pt M_∞ of $\{M_T\}$ is some vol_{S_y} .

Key to Proof. Show $M_\infty(S_y) \neq 0 \Rightarrow \{u^t x\} \subseteq S_y$.

$\therefore \{u^t x\} \subseteq Sx$ and $\dim S$ minimal $\Rightarrow M_\infty = \text{vol}_{Sx}$.

Claim. $d(u^t x, S_y)^2$ is polynomial function of t .

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Taylor series: $\log u = \sum_{k=1}^n (-1)^{k+1} \frac{1}{k} (u - I)^k$

So $u^t = \exp(t \log u) = \sum_{k=1}^n \frac{1}{k!} t^k (\log u)^k$.

Each matrix entry of u^t is polynomial function.

Linearization (Dani-Margulis [1993])

Can show $S \doteq \bar{S}$, so

\exists homo $\rho: G \rightarrow SL(D, \mathbb{R})$, and $\vec{v} \in \mathbb{R}^D$, such that $S = \text{Stab}_G(\vec{v})$. (Chevalley's Theorem)

Write $x = g\Gamma$, and assume $y = e\Gamma$.

$d(u^t x, S_y)^2 \doteq d(u^t g, S)^2 \doteq d(u^t g v, v)^2$.

u^t is polynomial func of $t \Rightarrow u^t g v$ is polynomial $\Rightarrow d(u^t g v, v)^2$ is polynomial.

Key to Proof. Show $M_\infty(S_y) \neq 0 \Rightarrow \{u^t x\} \subseteq S_y$.

We know $d(u^t x, S_y)^2$ is poly func of t of degree N .

$f \in C_c(X)_{\leq 1}$, supported in δ -neigh of S_y , such that $M_T(f) > 0.01$.

$0.01 < M_T(f) = \frac{1}{T} \int_0^T f(u^t x) dt$

$\leq \frac{1}{T} \ell(\{t \mid f(u^t x) \neq 0\})$

$\leq \frac{1}{T} \ell(\{t \mid d(u^t x, S_y) < \delta\})$

$d(u^t x, S_y)^2$ is poly that is $< \delta$ on 1% of $[0, T]$

$\Rightarrow d(u^t x, S_y)^2 < \epsilon$ on $[0, T]$.

Let $T_k \rightarrow \infty$: $d(u^t x, S_y) = 0$ for all t .

So $\{u^t x\} \subseteq S_y$. \square